

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 20002/16406

17302 US PRO 10/601439  
06/23/03

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Dz-ching Ju

Title: "Methods and Apparatus for Preserving Precise Exceptions In Code  
Reordering by Using Control Speculation"

1. Type of Application

This is a new application for a  
 utility patent.

2. Application Papers Enclosed

1 Title Page  
16 Pages of Specification  
(excluding Claims, Abstract, Sequence Listing & Drawings)

8 Pages of Claims

1 Page of Abstract

10 Sheets of Drawings (FIGS. 1 to 10)

Formal  
 Informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on June 23, 2003 in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 309991822 US.

*M. Greer*  
Magda Greer

**3. Declaration or Oath**

Enclosed

Executed by (check all applicable boxes)

Inventor(s)

Legal representative of inventor(s)  
(37 CFR 1.42 or 1.43)

Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed.  
See Item 5D below for fee.

Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

**4. Small Entity Status**

Applicant claims small entity status. See 37 CFR 1.27.

A small entity statement is(are) attached.

**5. Additional Papers Enclosed**

Preliminary Amendment

Information Disclosure Statement

Declaration of Biological Deposit

Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence

Microfiche computer program

Associate Power of Attorney

Verified translation of a non-English patent application

An assignment of the invention

Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

Return receipt postcard

Other

**6. Priority Applications Under 35 USC 119**

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

are attached.  
 will follow.

COUNTRY	APPLICATION NO.	FILED

**7. Filing Fee Calculation (37 CFR 1.16)**

**A.  Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT(S) (IF ANY)						
			SMALL ENTITY	OTHER THAN A SMALL ENTITY		
	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE				\$375.00		\$750.00
TOTAL	24 - 20	= 4	X 9 =	\$0.00	X 18 =	\$72.00
INDEP.	8 - 3	= 5	X 42 =	\$0.00	X 84 =	\$420.00
First Presentation of Multiple Dependent Claim			+ 140 =	\$0.00	+ 280 =	\$0.00
Filing Fee:				\$0.00	OR	\$1,242.00

**B.  Design Application (\$165.00/\$330.00) Filing Fee: \$0.00**

**C  Plant Application (\$260.00/\$520.00) Filing Fee: \$0.00**

**D. Other Fees**

Recording Assignment  
[Fee — \$40.00 per assignment] \$0.00

Petition fee for filing by other than all the inventors  
or person on behalf of the inventor where  
inventor refused to sign  
or cannot be reached [Fee — \$130.00] \$0.00

Other \$0.00

**Total Fees Enclosed \$1,242.00**

**8. Method of Payment of Fees**

Enclosed check in the amount of: \$1,242.00

Charge Deposit Account No. 50-2455 in the amount of: \$0.00  
A copy of this Transmittal is enclosed.

Not enclosed

**9. Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 50-2455. A copy of this Transmittal is enclosed.

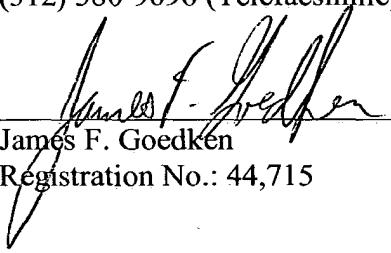
Please refund any overpayment to Grossman & Flight LLC at the address below.

**10. Correspondence Address**

Respectfully submitted,

GROSSMAN & FLIGHT LLC  
20 North Wacker Drive  
Suite 4220  
Chicago, Illinois 60606-6357  
(312) 580-1020  
(312) 580-9696 (Telefacsimile)

By:

  
\_\_\_\_\_  
James F. Goedken  
Registration No.: 44,715

June 23, 2003